

Unquoted Shares Questionnaire

Please Note:

InvestAcc Pension Administration Limited will not agree to any share purchase from a Scheme Member or any party connected to the member. InvestAcc Pension Administration Limited will also not agree to any share purchase in companies that are controlled by the Scheme Member. Investment will only be considered in Trading Companies. Maximum investment allowable will be 50% of the fund value in an Unconnected Company and 5% in the shares of the principal employer or any associated employer. Investment will not be allowed in companies which own directly or indirectly taxable property e.g. residential property or tangible moveable property e.g. art, antiques, etc or plant and machinery exceeding £6,000 in value.

Member Name

Member Number

Details of the company into which the Scheme is to invest:

Company Name		Company Reg. Number	
Issued & fully paid Share capital	£	Class of shares to be purchased	

All current Shareholders and Class of Shares held:

Name	Holding	Class
	%	
	%	
	%	
	%	

Current Directors:

Name

Investment:

Amount to be invested:

£

What is the primary motivation for investment?

Is the Investment to purchase a new share issue?

Yes

No

If 'no' from whom are the shares to be purchased?

If 'yes' what is the reason for the new share issue?

Will the shares produce a dividend?

Yes

No

Valuation:

The Company must be valued by an independent Accountant or Auditor at the Scheme/Company expense and a copy of the valuation provided to InvestAcc Pension Administration Limited, prior to the investment.

Details of Accountant/Auditor who will carry out the valuation:

Name

Address

Postcode

Telephone Number

Disclaimer:

Should InvestAcc Pension Administration Limited agree to the requested investment, we will not accept responsibility for the future performance. The member or their professional advisers should satisfy themselves as to the suitability of investment. Such investments are often illiquid and with no ready market disposal may be difficult, members should bear in mind at all times the requirement to provide pension benefits. Certain investments will be considered by HMRC as taxable property and may attract an unauthorised payment charge with consequential tax liabilities upon both the member and the Scheme. By requesting the purchase of the above the member trustees take full responsibility for the investment and any subsequent tax charge or penalties levied against either the members and/or Scheme Administrator.

I confirm I have read and understood the above disclaimer and wish to proceed with the investment detailed above:

All Trustees to sign:

Signature	Date
Signature	Date
Signature	Date
Signature	Date

Please return this form together with a copy of the last Company accounts to:

InvestAcc Pension Administration Limited
 Minerva House
 Port Road Business Park
 Carlisle
 CA2 7AF

